



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
NEW YORK REGIONAL OFFICE
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November 8, 2018

SUBMITTED VIA ECF

The Honorable Andrew L. Carter, Jr.
United States District Court, S.D.N.Y.
40 Foley Square, Room 435
New York, New York 10007

Re: SEC v. Thompson, et al., 14 CV 9126 (ALC)

Dear Judge Carter:

We write to advise the Court that plaintiff Securities and Exchange Commission has filed via ECF the attached Consent and Judgment in the above-captioned matter with respect to defendant Jay Fung

Respectfully submitted,

s/ Howard A. Fischer
Howard A. Fischer
Senior Trial Counsel

cc: All defendants (via ECF)

Attachments